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PhD Defense

Between Spectral Graph Theory and Polytope Theory

Working group for Algorithmic and Discrete Mathematics

Spectral Realizations of Symmetric Graphs, Spectral Polytopes and Edge-Transitivity

Between Spectral Graph Theory and Polytope Theory

Martin Winter

Working group for Algorithmic and Discrete Mathematics

18. June, 2021

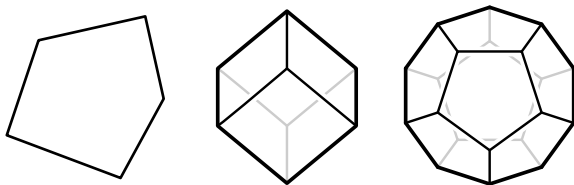


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An Overview



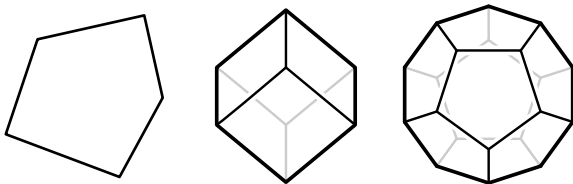
Convex polytopes



Definition.

A **(convex) polytope** is the convex hull of finitely many points in \mathbb{R}^d .

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Polytopes have

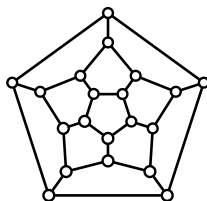
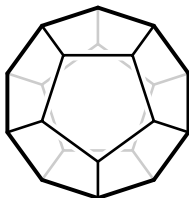
- ▶ vertices,
 - ▶ edges,
 - ▶ faces.
- } intersection of P with a hyperplane

The edge-graph and the quest for reconstruction

Definition.

The **edge-graph** of P is the graph $G_P = (V, E)$ with

- ▶ $V := \{1, \dots, n\}$. (corresponding to the vertices v_1, \dots, v_n of P)
- ▶ $i, j \in V$ are adjacent in $G_P \iff \text{conv}\{v_i, v_j\}$ is an edge of P

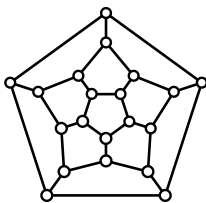
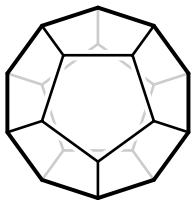


The edge-graph and the quest for reconstruction

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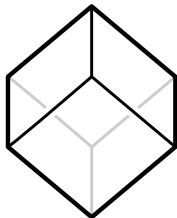
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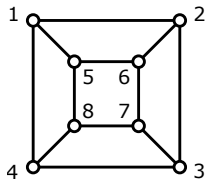
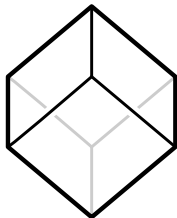


Note: the edge-graph of P carries very little information about the polytope.

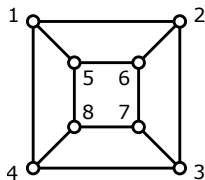
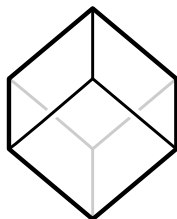
A curious (spectral) observation



A curious (spectral) observation

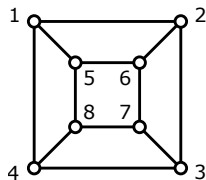
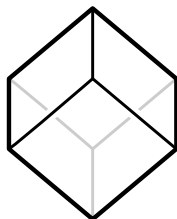


A curious (spectral) observation



	1	2	3	4	5	6	7	8	
0	1	0	1	1	0	0	0	0	1
1	0	1	0	0	1	0	0	0	2
0	1	0	1	0	0	1	0	0	3
1	0	1	0	0	0	0	0	1	4
1	0	0	0	0	1	0	1	1	5
0	1	0	0	1	0	1	0	0	6
0	0	1	0	0	1	0	1	1	7
0	0	0	1	1	0	1	0	0	8

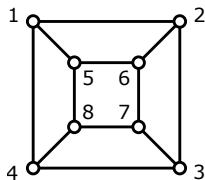
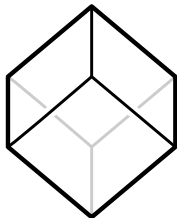
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1	0	1	0	0	0	0	0	1	4
1	0	0	0	0	1	0	1	1	5
0	1	0	0	1	0	1	0	0	6
0	0	1	0	0	1	0	1	1	7
0	0	0	1	1	0	1	0	0	8

$\underbrace{\hspace{15em}}_{A(G_P)}$

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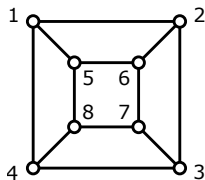
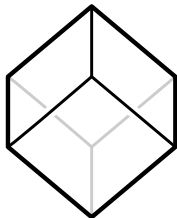


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$\underbrace{\hspace{15em}}_{A(G_P)}$

$$\text{Spec}(A(G_P)) = \{3^1, 1^3, (-1)^3, (-3)^1\}$$

A curious (spectral) observation



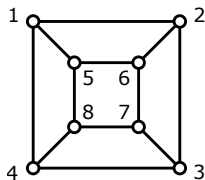
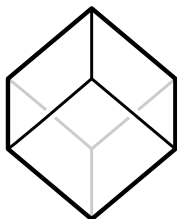
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$$\theta_1 > \theta_2 > \dots > \theta_m$$

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$$u_1 = \begin{bmatrix} 1 \\ 1 \\ 1 \\ 1 \\ -1 \\ -1 \\ -1 \\ -1 \end{bmatrix}, \quad u_2 = \begin{bmatrix} 1 \\ 1 \\ -1 \\ -1 \\ 1 \\ 1 \\ -1 \\ -1 \end{bmatrix}, \quad u_3 = \begin{bmatrix} -1 \\ 1 \\ 1 \\ -1 \\ -1 \\ 1 \\ 1 \\ -1 \end{bmatrix}$$

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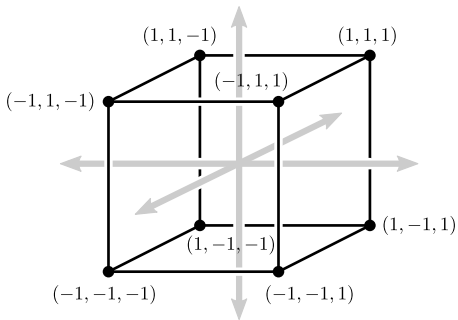
$$u_1 = \begin{bmatrix} 1 \\ 1 \\ 1 \\ 1 \\ -1 \\ -1 \\ -1 \\ -1 \end{bmatrix}, \quad u_2 = \begin{bmatrix} 1 \\ 1 \\ -1 \\ -1 \\ 1 \\ 1 \\ -1 \\ -1 \end{bmatrix}, \quad u_3 = \begin{bmatrix} -1 \\ 1 \\ 1 \\ -1 \\ -1 \\ 1 \\ 1 \\ -1 \end{bmatrix} \quad \longrightarrow \quad \begin{matrix} u_1 & u_2 & u_3 \\ \begin{bmatrix} 1 & 1 & 1 \\ 1 & 1 & -1 \\ 1 & -1 & -1 \\ 1 & -1 & 1 \\ -1 & 1 & 1 \\ -1 & 1 & -1 \\ -1 & -1 & -1 \\ -1 & -1 & 1 \end{bmatrix} & \begin{matrix} \leftarrow v_1 \\ \leftarrow v_2 \\ \leftarrow v_3 \\ \leftarrow v_4 \\ \leftarrow v_5 \\ \leftarrow v_6 \\ \leftarrow v_7 \\ \leftarrow v_8 \end{matrix} \end{matrix}$$

A curious (spectral) observation

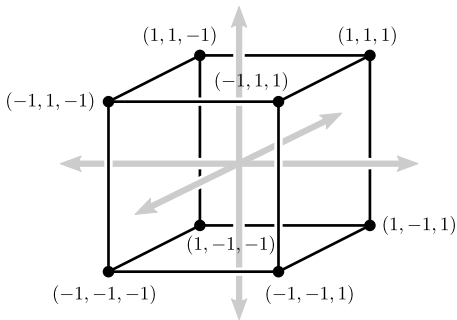
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θ_2 -eigenvectors of G_P \longrightarrow θ_2 -spectral realization v^θ of G_P

A curious (spectral) observation



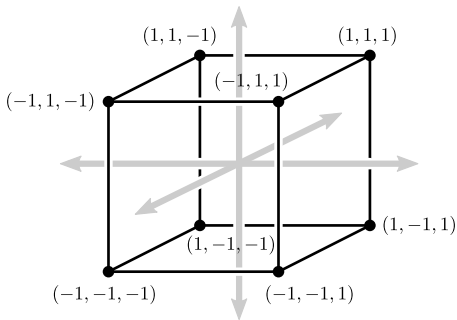
A curious (spectral) observation



Questions

- ▶ For which polytopes does this work?
- ▶ Why have we used θ_2 ?

A curious (spectral) observation



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} → Part I

Eigenpolytopes and spectral polytopes

Definition. (GODSIL, 1978)

The **eigenpolytope** of a graph G to eigenvalue $\theta \in \text{Spec}(A(G))$ is

$$P_G(\theta) := \text{conv}\{v_1^\theta, \dots, v_n^\theta\}.$$

Eigenpolytopes and spectral polytopes

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A **θ -spectral polytope** is the eigenpolytope of its edge-graph.

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- ▶ GODSIL, *Graphs, Groups and Polytopes*, 1978.
- ▶ LICATA & POWERS, *A Surprising Property of some Regular Polytopes*, 1978.
- ▶ MOHRI, *The θ_1 -Eigenpolytopes of the Hamming Graphs*, 1997.
- ▶ GODSIL, *Eigenpolytopes of Distance Regular Graphs*, 1998.

Properties of spectral polytopes

Observations

If P is θ -spectral, then

- ▶ rigidity: P is uniquely determined by its edge-graph.
- ▶ symmetry: P is as symmetric as its edge-graph.

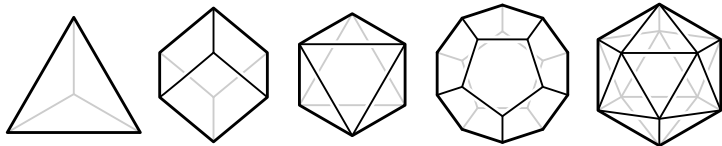
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Regular polytopes are θ_2 -spectral: (LICATA & POWERS, 1986)



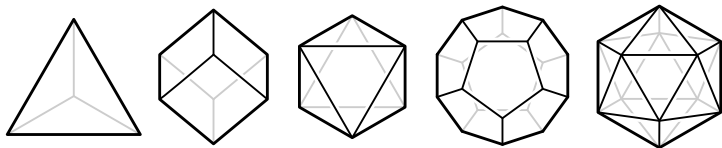
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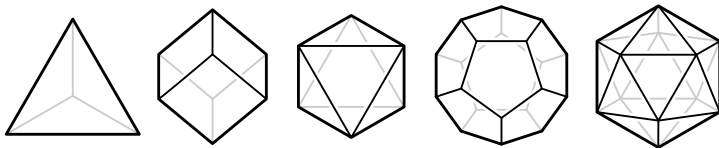
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Maybe every sufficiently symmetric polytope is spectral.

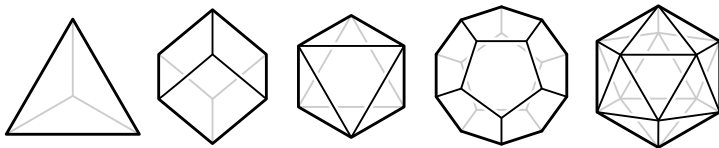
What is sufficient symmetry?

Regularity is sufficient: (LICATA & POWERS, 1986)

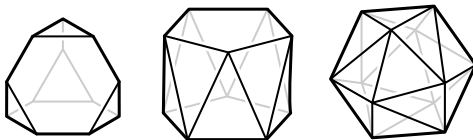


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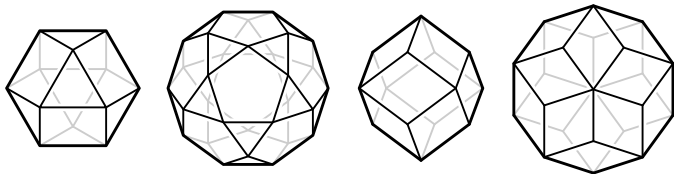
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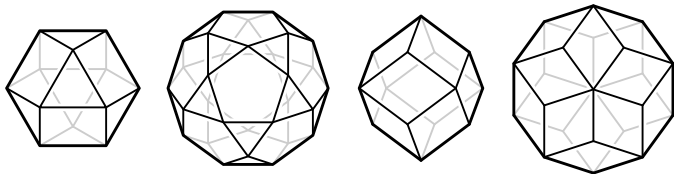
Vertex-transitivity is not sufficient: $\text{Aut}(P) := \{T \in O(\mathbb{R}^d) \mid TP = T\}$



The hope: edge-transitivity



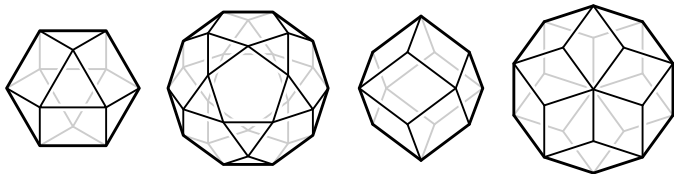
The hope: edge-transitivity



Questions

- ▶ Which polytopes are edge-transitive?
- ▶ Are there many edge-transitive polytopes?
- ▶ Can they be classified?

The hope: edge-transitivity



Questions

- ▶ Which polytopes are edge-transitive?
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} → Part II

Papers

Published

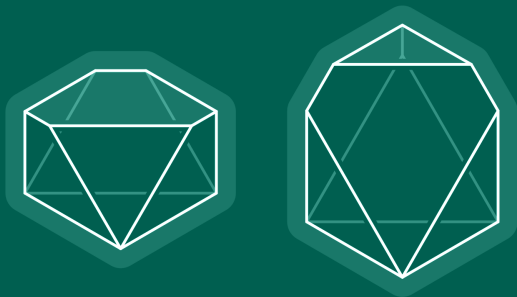
- ▶ *Vertex-Facets Assignments for Polytopes* (with Thomas Jahn)
Contributions to Algebra and Geometry
- ▶ *Geometry and Topology of Symmetric Point Arrangements*
Linear Algebra and its Applications
- ▶ *The Classification of Vertex-Transitive Zonotopes*
Discrete & Computational Geometry

Manuscripts

- ▶ *The Edge-Transitive Polytopes that are not Vertex-Transitive*
- ▶ *Symmetric and Spectral Realizations of Highly Symmetric Graphs*
- ▶ *Eigenpolytopes, Spectral Polytopes and Edge-Transitivity*

Part I

Spectrum and Symmetry



The Izmestiev construction

(IZMESTIEV, 2008)

$$P^\circ := \{x \in \mathbb{R}^d \mid \langle x, v_i \rangle \leq 1 \text{ for all } i \in V\}.$$

The Izmestiev construction

(IZMESTIEV, 2008)

$$P^\circ(c) := \{x \in \mathbb{R}^d \mid \langle x, v_i \rangle \leq c_i \text{ for all } i \in V\}, \quad c \in \mathbb{R}^n$$

The Izmestiev construction

(IZMESTIEV, 2008)

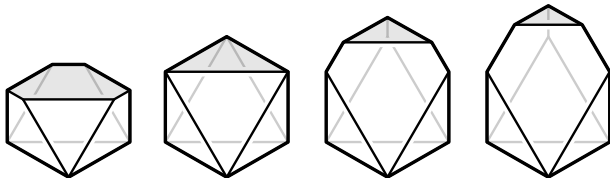
$$\begin{aligned}
 P^\circ(c) &:= \{x \in \mathbb{R}^d \mid \langle x, v_i \rangle \leq c_i \text{ for all } i \in V\}, & c \in \mathbb{R}^n \\
 &\longrightarrow P^\circ(1, \dots, 1) = P^\circ
 \end{aligned}$$

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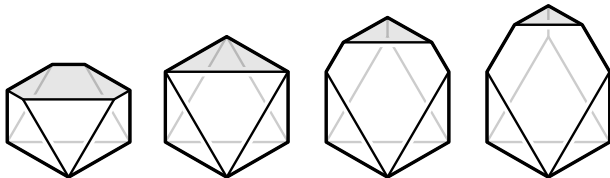


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$$\longrightarrow P^\circ(1, \dots, 1) = P^\circ$$



Definition.

The **Izmestiev matrix** is $M \in \mathbb{R}^{n \times n}$ with

$$M_{ij} := \left. \frac{\partial^2 \text{vol}(P^\circ(c))}{\partial c_i \partial c_j} \right|_{c=(1, \dots, 1)}$$

A sufficient criterion for spectral polytopes

Theorem.

Let M be the Izmestiev matrix of P . If

- (i) M_{ii} is the same for all $i \in \{1, \dots, n\}$ and
- (ii) M_{ij} is the same for all edges $ij \in E(G_P)$,

then P is θ_2 -spectral.

A sufficient criterion for spectral polytopes

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Let M be the Izmestiev matrix of P . If

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- } $\iff M = \alpha \text{Id} + \beta A$
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A sufficient criterion for spectral polytopes

Theorem.

Let M be the Lzmesstiev matrix of P . If

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- then P is θ_2 -spectral.
- $$\left. \begin{array}{l} \text{(i)} \\ \text{(ii)} \end{array} \right\} \iff M = \alpha \text{Id} + \beta A$$

$$M_{ij} := \frac{\partial^2 \text{vol}(P^\circ(c))}{\partial c_i \partial c_j} \Big|_{c=(1, \dots, 1)}$$

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$$M_{ij} := \frac{\partial^2 \text{vol}(P^\circ(c))}{\partial c_i \partial c_j} \Big|_{c=(1, \dots, 1)} \stackrel{\text{if } ij \in E}{=} \frac{\text{vol}(f_{ij}^\circ)}{\|v_i\| \|v_j\| \sin \angle(v_i, v_j)}.$$

dual face to edge ij
 \downarrow
 $\text{vol}(f_{ij}^\circ)$

A sufficient criterion for spectral polytopes

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- then P is θ_2 -spectral.

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$\text{dual face to edge } ij$
 \downarrow

Corollary.

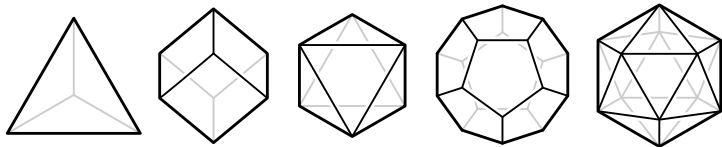
If P is simultaneously vertex- and edge-transitive, then P is θ_2 -spectral.

Part II

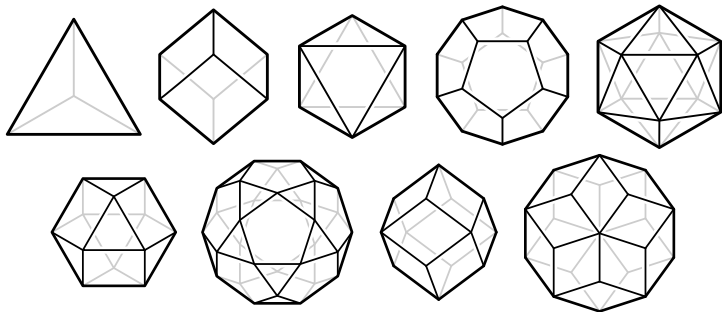
Edge-Transitive Polytopes



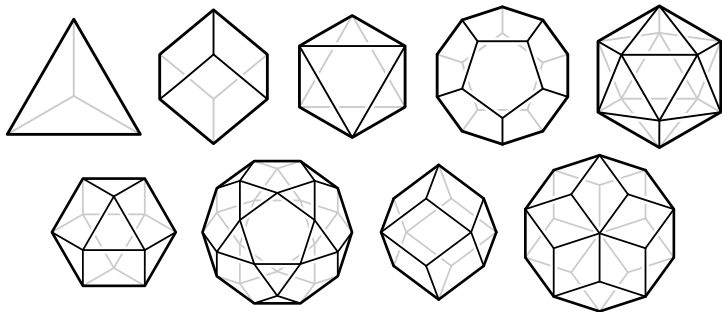
Edge-transitive polyhedra



Edge-transitive polyhedra



Edge-transitive polyhedra



Theorem. (GRÜNBAUM & SHEPHARD, 1987)

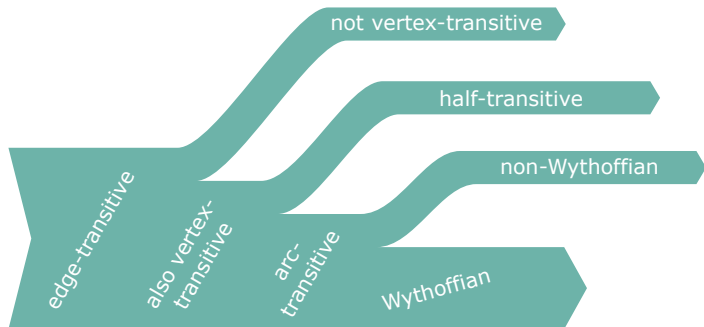
There are nine edge-transitive polyhedra.

Transitivity in polytopes

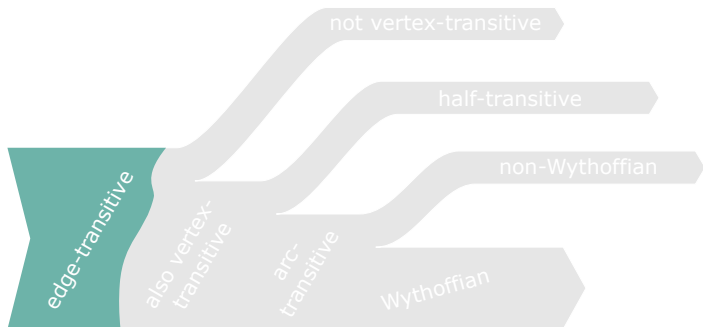
No serious consideration seems to have been given to polytopes in dimensions $d \geq 4$ about which transitivity of the symmetry group is assumed only for faces of suitably low dimensions, and regularity or some variant of it is required only for faces of dimensions $\leq d - 2$.

- GRÜNBAUM (*Convex Polytopes*, 1967/2003)

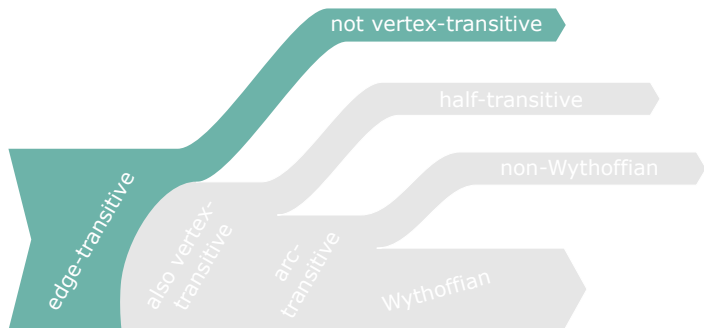
A hierarchy of edge-transitive polytopes



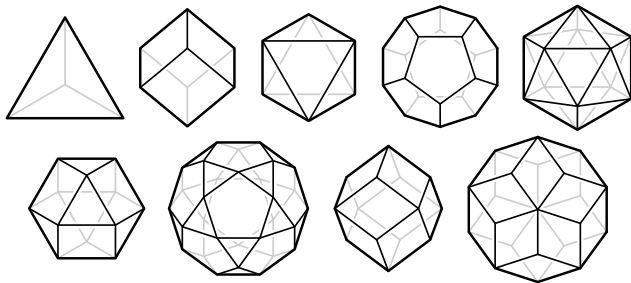
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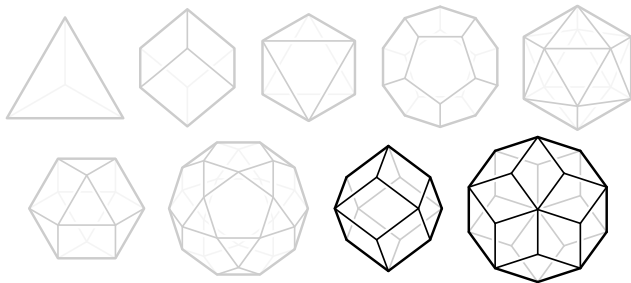
A hierarchy of edge-transitive polytopes



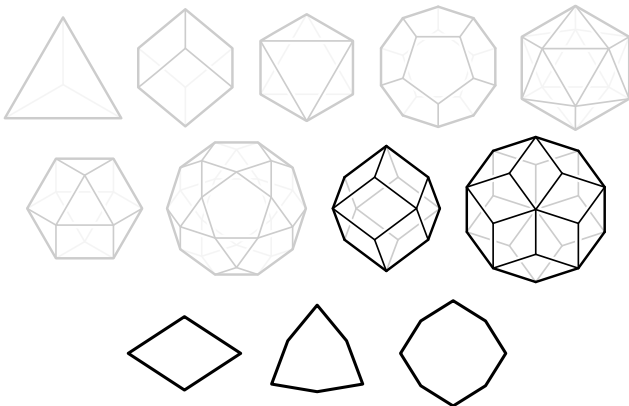
Edge- but not vertex-transitive



Edge- but not vertex-transitive



Edge- but not vertex-transitive



Edge-transitive but not vertex-transitive

Theorem.

An edge-transitive polytope in dimension $d \geq 4$ is vertex-transitive.

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- (ii) P has an *edge-insphere*, and

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If P is edge-transitive but not vertex-transitive, then

- (i) all edges of P are of the same length,
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- (iii) the edge-graph G_P is *bipartite*.

Edge-transitive but not vertex-transitive

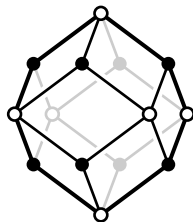
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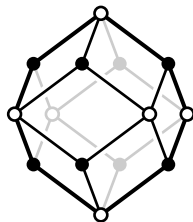
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P is **bipartite** if

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Theorem.

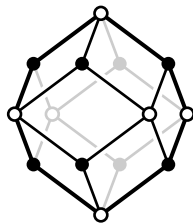
An edge-transitive polytope in dimension $d \geq 4$ is vertex-transitive.

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Theorem.

If P is bipartite and of dimension $d \geq 4$ then it is a Γ -permutahedron,

Edge-transitive but not vertex-transitive

Theorem.

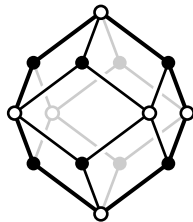
An edge-transitive polytope in dimension $d \geq 4$ is vertex-transitive.

Proof.

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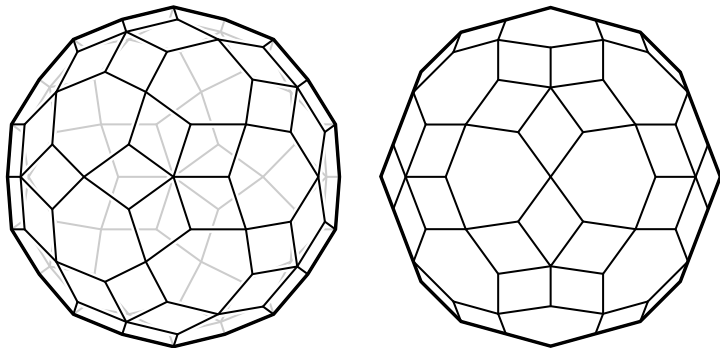
- (i) all edges of P are of the same length,
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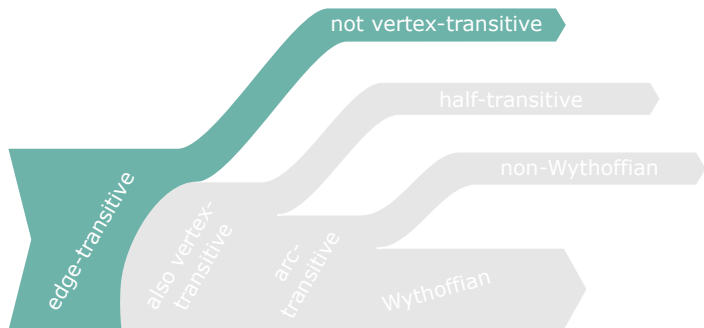
Theorem.

If P is bipartite and of dimension $d \geq 4$ then it is a Γ -permutahedron, and therefore vertex-transitive.

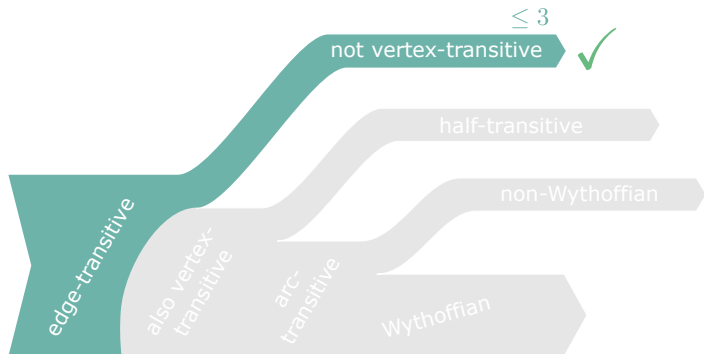
An almost bipartite polyhedron



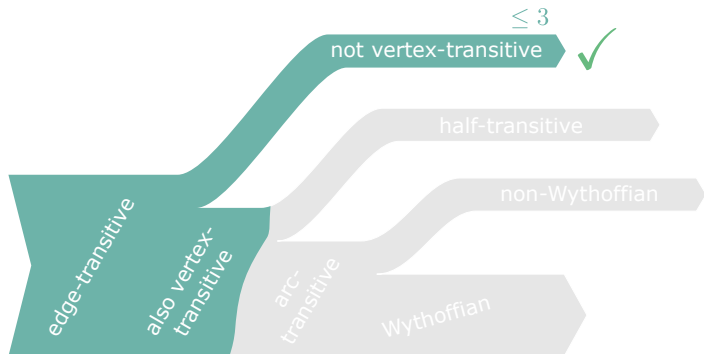
A hierarchy of edge-transitive polytopes



A hierarchy of edge-transitive polytopes



A hierarchy of edge-transitive polytopes



Vertex- and edge-transitive polytopes

Theorem.

If P is both vertex- and edge-transitive, then

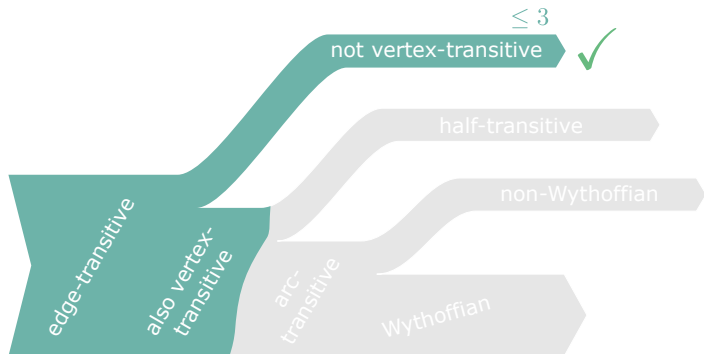
- ▶ P is θ_2 -spectral.
- ▶ P is uniquely determined by its edge-graph (up to scale and orientation).
- ▶ P is as symmetric as its edge-graph.
- ▶ $\text{Aut}(P)$ is irreducible. ($\text{Aut}(P)$ fixes no non-trivial subspace)
- ▶ P has edge-length ℓ and circumradius r with

$$\frac{\ell}{r} = \sqrt{2 - \frac{2\theta_2}{\deg(G_P)}}.$$

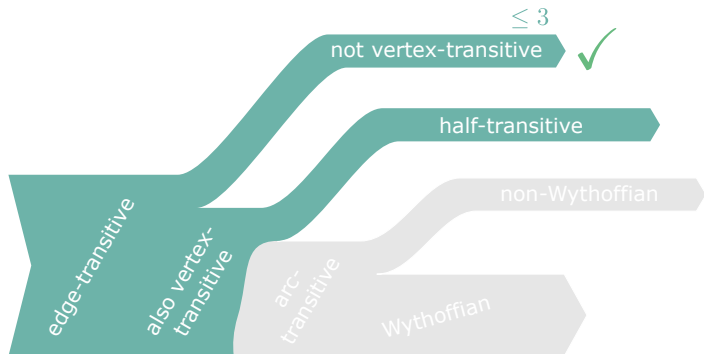
- ▶ the polar dual P° has dihedral angle α with

$$\cos(\alpha) = -\frac{\theta_2}{\deg(G_P)}.$$

A hierarchy of edge-transitive polytopes



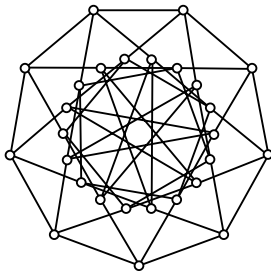
A hierarchy of edge-transitive polytopes



Half-transitive polytopes

Corollary.

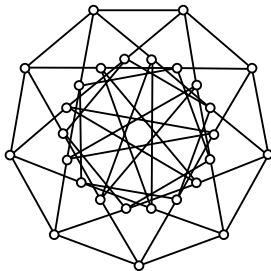
The edge-graph of a half-transitive polytope must be itself half-transitive.



Half-transitive polytopes

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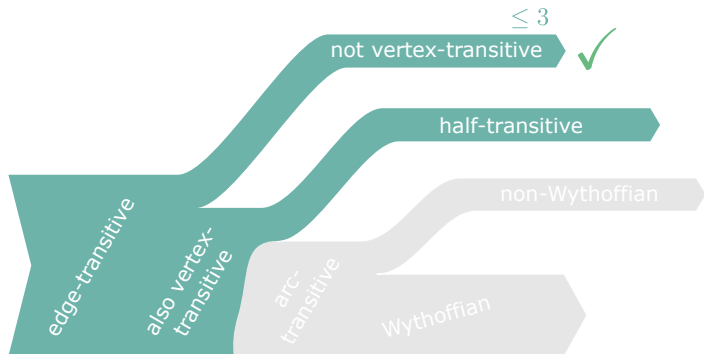
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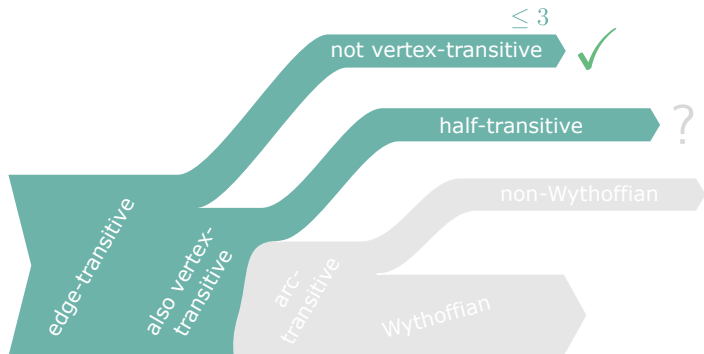
Conjecture.

There are no half-transitive polytopes.

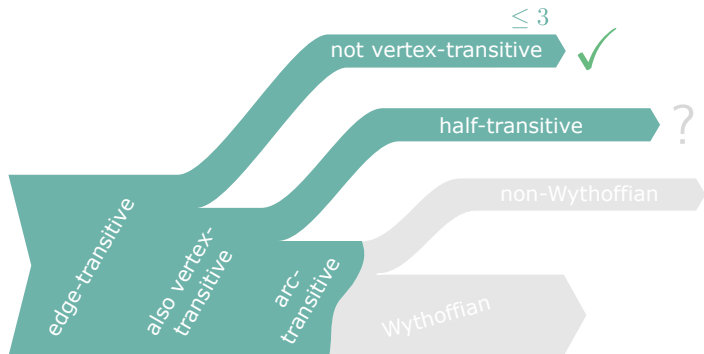
A hierarchy of edge-transitive polytopes



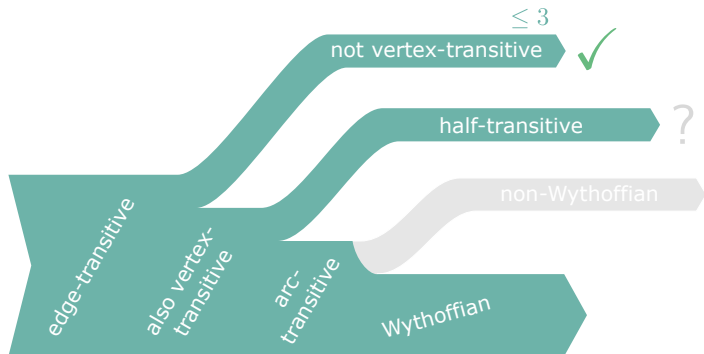
A hierarchy of edge-transitive polytopes



A hierarchy of edge-transitive polytopes



A hierarchy of edge-transitive polytopes



Wythoffian polytopes

Definition.

Let $\Gamma \subseteq GL(\mathbb{R}^d)$ be a matrix group and $x \in \mathbb{R}^d$. The **orbit polytope** $\text{Orb}(\Gamma, x)$ is

$$\text{Orb}(\Gamma, x) := \text{conv}\{Tx \mid T \in \Gamma\}.$$

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A **Wythoffian** polytope is the orbit polytope of a finite reflection group.

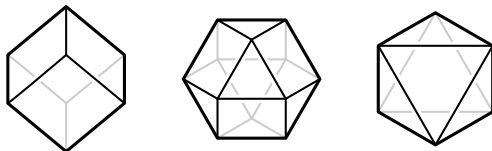
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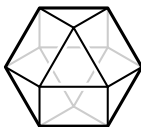
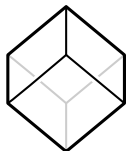
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Wythoffian arc-transitive polytopes

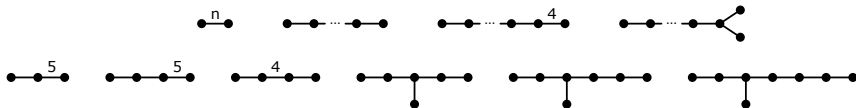
Definition.

A Coxeter-Dynkin diagram is called **transitive** if its symmetry group acts transitively on the ringed nodes.

Wythoffian arc-transitive polytopes

Definition.

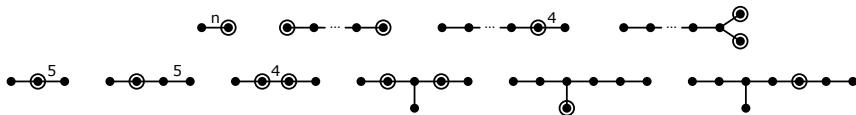
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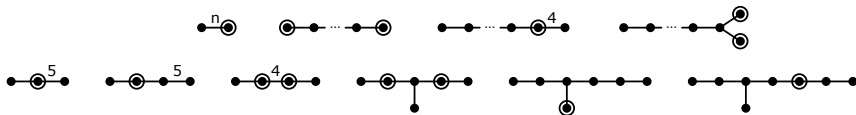
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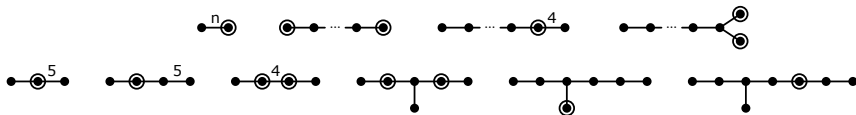
Conjecture.

A Wythoffian polytope is arc-transitive if and only if its Coxeter-Dynkin diagrams is transitive.

Wythoffian arc-transitive polytopes

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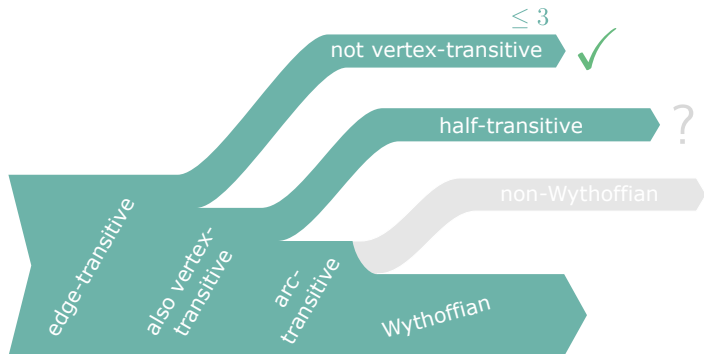


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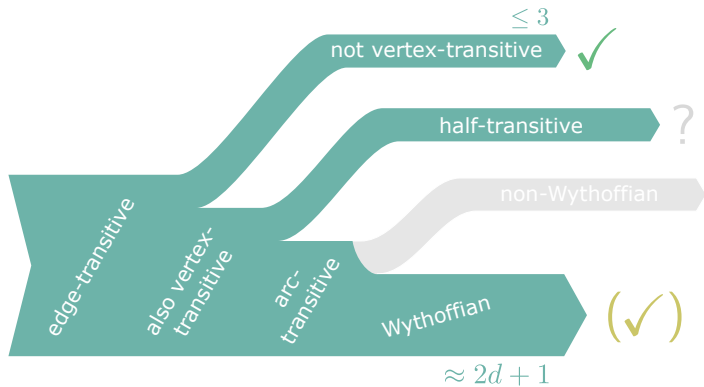
A Wythoffian polytope is arc-transitive if and only if its Coxeter-Dynkin diagrams is transitive.

d	1	2	3	4	5	6	7	8	≥ 9
$\#$	1	∞	7	15	11	19	22	25	$2d + 1$

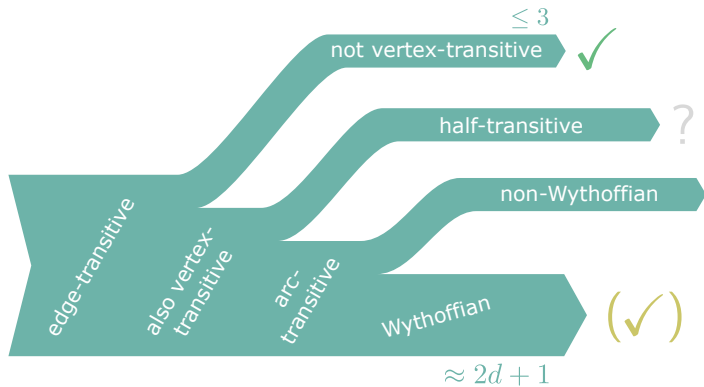
A hierarchy of edge-transitive polytopes



A hierarchy of edge-transitive polytopes



A hierarchy of edge-transitive polytopes



Non-Wythoffian polytopes

Wythoffian polytope = orbit polytope of reflection group

Non-Wythoffian polytopes

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Definition.

- ▶ $T \in O(\mathbb{R}^d)$ is a **reflection** if $\text{Spec}(T) = \{(-1)^1, 1^{d-1}\}$.
- ▶ A **reflection group** is a matrix group generated by reflections.

Non-Wythoffian polytopes

Wythoffian polytope = orbit polytope of reflection group

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Theorem.

Every arc-transitive polytope is an orbit polytope of a k -reflection group.

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Caveat: k -reflection groups are not well understood and rather general.

Non-Wythoffian polytopes

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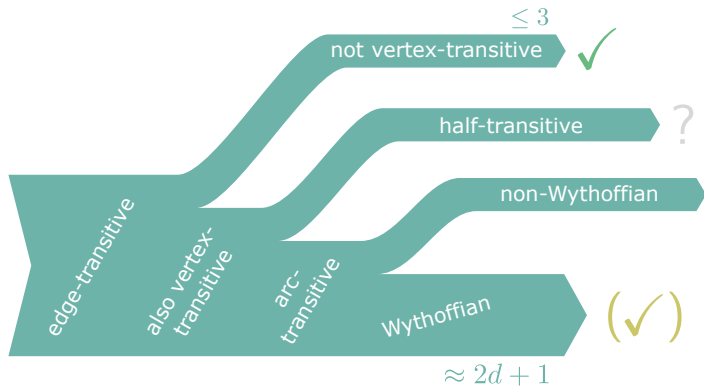
Every arc-transitive polytope is an orbit polytope of a k -reflection group.

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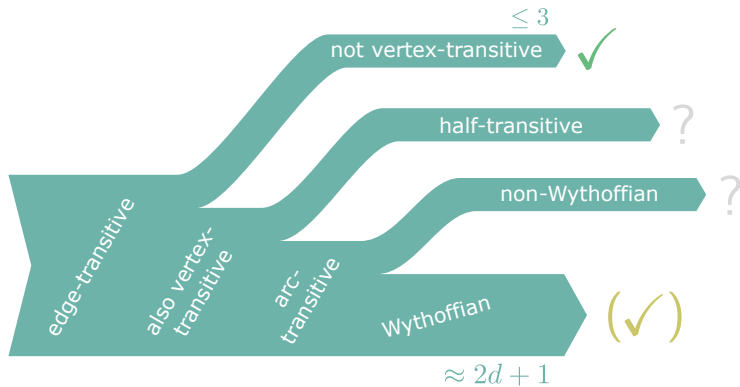
Conjecture.

All arc-transitive polytopes are orbit polytopes to 1-reflection groups.

A hierarchy of edge-transitive polytopes



A hierarchy of edge-transitive polytopes



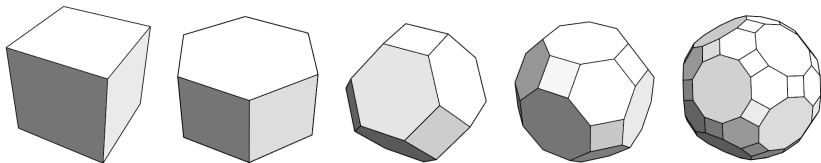
Other Results



Classification of vertex-transitive zonotopes

Definition.

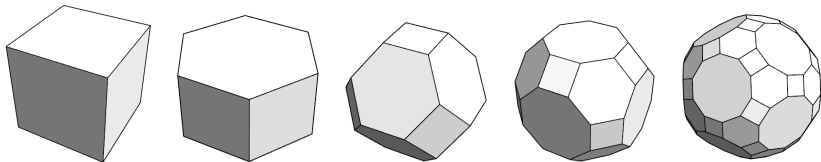
A **zonotope** is a polytope with only centrally symmetric faces.



Classification of vertex-transitive zonotopes

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A **zonotope** is a polytope with only centrally symmetric faces.



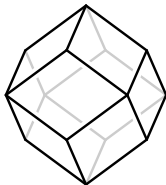
Theorem.

If Z is a zonotope that is either

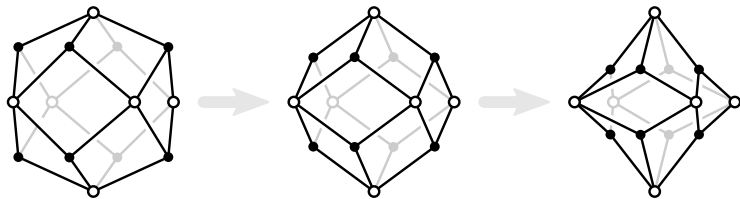
- (i) vertex-transitive, or
- (ii) inscribed with all edges of the same length,

then Z is a Γ -permutahedron. (a generic orbit polytope of the reflection group Γ)

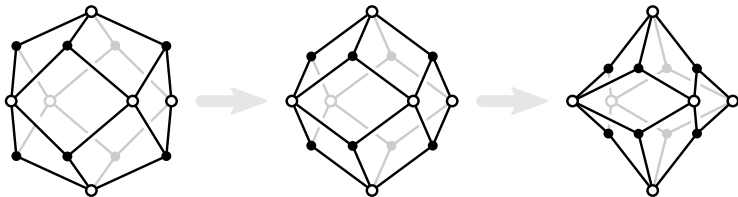
Rigidity of graph realizations



Rigidity of graph realizations



Rigidity of graph realizations



Theorem.

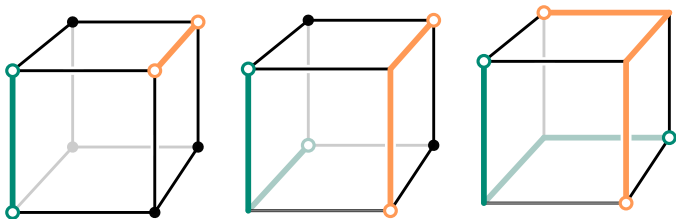
If v is a **distance-transitive** (and irreducible) graph realization, then

- ▶ v is a spectral realization
- ▶ v is rigid
- ▶ v is as symmetric as the graph.

Distance-transitivity

Definition.

A graph G is **distance-transitive** if for any two pair of vertices $i, j, \hat{i}, \hat{j} \in V$ with $\text{dist}(i, j) = \text{dist}(\hat{i}, \hat{j})$ exists a $\sigma \in \text{Aut}(G)$ with $\sigma(i) = \hat{i}$ and $\sigma(j) = \hat{j}$.



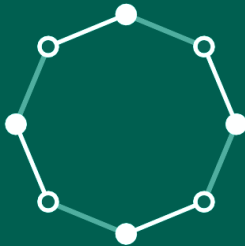
Distance-transitive polytopes

Theorem. (based on a classification by GODSIL, 1997)

If $P \subset \mathbb{R}^d$ is distance-transitive, then P is one of the following:

- ▶ a regular polygon,
- ▶ the icosahedron,
- ▶ the dodecahedron,
- ▶ a crosspolytope,
- ▶ a hyper-simplex (this includes regular simplices),
- ▶ a demi-cube,
- ▶ a cartesian power of a simplex (this includes hypercubes),
- ▶ the 6-dimensional 2_{21} -polytope,
- ▶ the 7-dimensional 3_{21} -polytope.

Outlook

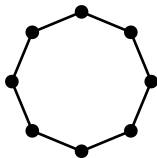
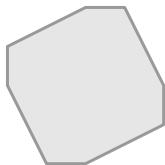


Many open questions

Questions

- ▶ Is the Izmistiev criterion characterizing spectral polytopes?
- ▶ Are there half-transitive or non-Wythoffian arc-transitive polytopes?
- ▶ Is my conjectured classification of edge-transitive polytopes complete?
- ▶ Can we classify k -face transitive polytopes?
- ▶ What are the inscribed zonotopes?
- ▶ ...

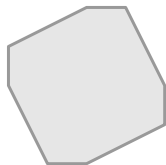
Capturing symmetries via colors



Capturing symmetries via colors

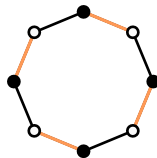


Capturing symmetries via colors

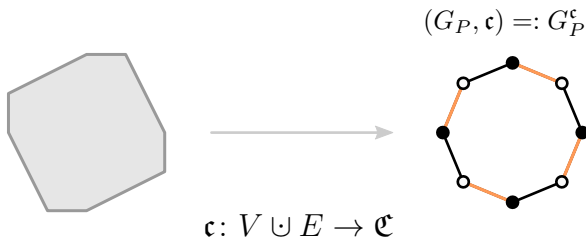


$$c: V \cup E \rightarrow \mathcal{C}$$

$$(G_P, c) =: G_P^c$$



Capturing symmetries via colors

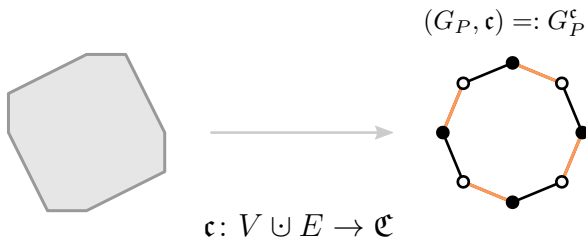


Theorem.

There is a coloring $\mathfrak{c}: V \cup E \rightarrow \mathfrak{C}$ of the edge-graph so that

$$\text{Aut}(G_P^{\mathfrak{c}}) \cong \text{Aut}_{\text{GL}}(P).$$

Capturing symmetries via colors



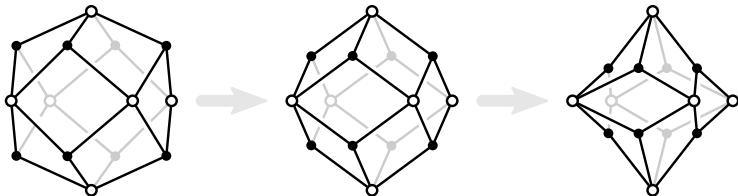
Theorem.

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Idea: use $\mathfrak{c}(i) = M_{ii}$ and $\mathfrak{c}(ij) = M_{ij}$. (where M is the Izместiev matrix)

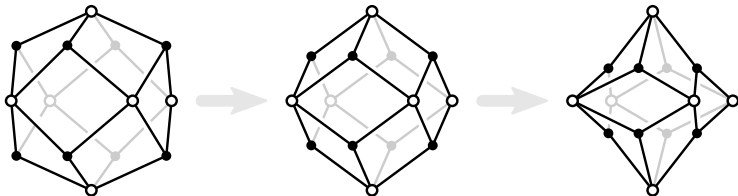
Algebraic criteria for symmetric rigidity



Question

Can a graph realization (or an arrangement of points) be deformed without losing a prescribed set of symmetries $\Sigma \subseteq \text{Sym}(V)$?

Algebraic criteria for symmetric rigidity



Question

Can a graph realization (or an arrangement of points) be deformed without losing a prescribed set of symmetries $\Sigma \subseteq \text{Sym}(V)$?

Theorem.

An arrangement is Σ -rigid if and only if its Bose-Mesner algebra is commutative.

Thank you.

