Adjoint degrees and scissors congruence for polytopes



Scissors congruence

Two polytopes P and Q are scissors congruent if

$$P = P_1 \cup \cdots \cup P_n \qquad Q = Q_1 \cup \cdots \cup Q_n.$$

with $Q_i = S_i(P_i)$, where $S_i \in Iso(\mathbb{R}^d)$ are isometries.



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Theorem (Wallace, Bolyai, Gerwien; 1807/33/35)

Two polygons P, Q are scissors congruent if and only if vol(P) = vol(Q).

Given any two polyhedra P and Q of equal volume, is it always possible to dissect P into finitely many polyhedral pieces $P_1, ..., P_n$, which can then be reassembled to yield Q?

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Theorem. (Dehn; 1901)

If $P, Q \subset \mathbb{R}^3$ are scissors congruent, then they have the same <u>Dehn invariant</u>.

$$D(P) := \sum_{e \in P} \operatorname{len}(e) \otimes_{\mathbb{Z}} \theta(e) / 2\pi \in \mathbb{R} \otimes_{\mathbb{Z}} \mathbb{R} / 2\pi \mathbb{Z}.$$

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Theorem. (Sydler; 1965)

 $P,Q \subset \mathbb{R}^3$ are scissors congruent if and only if they have the same volume and same Dehn invariant.

VALUATIONS

Whenever P, Q, $P \cap Q$ and $P \cup Q$ are polytopes, a **valuation** satisfies

$$\phi(P) + \phi(Q) = \phi(P \cup Q) + \phi(P \cap Q)$$

... but what we actually care about:

$$\phi(P_1 \cup \cdots \cup P_n) = \phi(P_1) + \cdots + \phi(P_n).$$

Examples:

▶ ...

- ► volume
- Dehn invariant
- surface area measure
- Euler characteristic
- mixed volumes
- number of contained lattice points

TWO COMPOSITION PUZZLES



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TWO COMPOSITION PUZZLES





Martin Winter (with Tom Baumbach, Ansgar Freyer and Julian Weigert)

Puzzle I



Let $\nu(P)$ be the surface area measure of $P \subset \mathbb{R}^d$ on \mathbb{S}^{d-1} .

$$\phi(P) := \nu(P) - \nu(-P)$$

Observe: a polygon P is centrally symmetric if and only if $\phi(P) = 0$.

Puzzle II



$$\phi(P) := \int_{P} e^{2\pi i (x_1 + x_2)} \, \mathrm{d}x = \int_{P} e^{2\pi i x_1} \, \mathrm{d}x \cdot \int_{P} e^{2\pi i x_2} \, \mathrm{d}x$$

Observe: a rectangle P has an integer side length if and only if $\phi(P) = 0$.

DUAL VOLUMES AND THE CANONICAL FORM



POLAR DUALITY

(polar) dual ... $P^{\circ} := \{ x \in \mathbb{R}^d \mid \langle x, y \rangle \leq 1 \text{ for all } y \in P \}.$



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Central new idea: the volume of the dual behaves valuative!

$$\Omega(P;x) := \operatorname{vol}(P-x)^{\circ} = \frac{p(x)}{q(x)}$$

Observe: this is a rational function in x.

 $\implies \Omega$ can be extended to points x outside of P.

Theorem. (ARKANI-HAMED, BAI, LAM; 2017)

$$\Omega(P_1 \cup \cdots \cup P_n; x) = \Omega(P_1; x) + \cdots + \Omega(P_n; x).$$

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Theorem. (Arkani-Hamed, Bai, Lam; 2017)

$$\Omega(P_1 \cup \cdots \cup P_n; x) = \Omega(P_1; x) + \cdots + \Omega(P_n; x).$$

$$\Omega(P;x) \cdot \prod_{F} \ell_F(x) = r(x)$$

 $\blacktriangleright \ \ell_F(x) := h_F - \langle u_F, x \rangle \ ... \ \text{facet-defining linear form}$

- ▶ *u_F* ... unit normal vector
- ▶ h_F ... facet height

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canonical form...

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We call this defficiency in degree the **degree drop** of P:

$$\operatorname{drop}(P) := (m - d - 1) - \operatorname{deg} \operatorname{adj}_P.$$

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Example: for the *d*-cube $\Box_d := [-1,1]^d$ we have

$$\Omega(\Box_d; x) = \frac{\text{some constant}}{\prod_i (1 - x_i^2)} \quad \Longrightarrow \quad \operatorname{drop}(\Box_d) = d - 1.$$

Adjoint degrees under composition

Lemma.

$$\operatorname{drop}(P_1 \cup \cdots \cup P_n) \ge \min_i \operatorname{drop}(P_i).$$

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Proof.

▶ first, homogenize:

$$\Omega(P;x_0,x) := \frac{\operatorname{adj}_P(x_0,x)}{\prod_F \ell_F(x_0,x)} \quad \xleftarrow{} \text{homogenized to degree } m - d - 1$$

• If $s := \operatorname{drop}(P_1 \cup \cdots \cup P_n)$ and $s_i := \operatorname{drop}(P_i)$, then

$$x_0^s \frac{p(x_0, x)}{\prod\limits_{F \subset P} \ell_F(x_0, x)} = \Omega(P \cup \dots \cup P_n; x_0, x)$$

= $\sum_i \Omega(P_i; x_0, x) = x_0^{\min s_i} \sum_i \frac{p_i(x_0, x)}{\prod\limits_{F \subset P_i} \ell_F(x_0, x)}$

Adjoint degrees under composition



Questions:

- What other polytopes have a drop?
- ▶ What characterizes polytopes with a particular drop *s*?

DROP IS INHERITED BY FACES

Lemma.

If $F \subset P$ is a facet, then

$$\operatorname{drop}(F) \ge \operatorname{drop}(P) - 1$$

with equality if and only of P has a facet parallel to F.

$$\begin{array}{ll} \textit{Proof.} & m_F - (d-1) - 1 - s_F & \leq m - d - 1 - s \\ & \frac{\mathrm{adj}_F(x)}{\prod_{G < F} \ell_G(x)} = \Omega(F; x) = \frac{\mathrm{adj}_P(x)|_F}{\prod_{G \neq F} \ell_G(x)|_F} \\ & \Longrightarrow & s_F \geq s - 1 & m - \begin{cases} 2 & \text{has parallel facet} \\ 1 & \text{no parallel facet} \end{cases} \end{array}$$

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Corollary

A *d*-polytope has $drop(P) \le d - 1$.

Question: which polytopes have maximal degree drop?

Lemma.

A centrally symmetric polygon P has drop(P) = 1. (which is maximal)

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Proof I.

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Note: zonotopes also decompose into "skew cubes" (parallelepipedes).

Lemma.

Zonotopes have maximal degree drop d - 1.

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A centrally symmetric polygon P has drop(P) = 1.

Proof II.

- ▶ We have $\Omega(P; x) = \Omega(P; -x)$ due to symmetry.
- ▶ Since $\Omega = \operatorname{adj}_P / \prod_F \ell_F$, either both adj_P and $\prod_F \ell_F$ even, or both odd.
- ▶ Since P is cs, $\deg \prod_F \ell_F = m$ is even $\implies \deg \operatorname{adj}_P$ is even
- ▶ Hence $\operatorname{drop}(P) = (m 2 1) \operatorname{deg} \operatorname{adj}_P$ is odd $\implies \operatorname{drop}(P) = 1$.

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- ▶ Hence $\operatorname{drop}(P) = (m 2 1) \operatorname{deg} \operatorname{adj}_P$ is odd $\implies \operatorname{drop}(P) = 1$.

Note: Argument applies verbatim in higher dimensions.

Lemma.

If P is centrally symmetric, then $\deg \operatorname{adj}_P$ is even, or equivalently,

$$\operatorname{drop}(P) \text{ is } \begin{cases} even & \text{if } d \text{ is odd} \\ odd & \text{if } d \text{ is even} \end{cases}$$

In particular, cs polytopes in even dimension have $drop(P) \ge 1$.
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Question: can a non-centrally symmetric polygon have a drop?

"Proof" that the answer is <u>No</u>:













$$\phi(P) = \phi(P_1 \cup \dots \cup P_n)$$

= $\phi(P_1) + \dots + \phi(P_n)$
= $\phi(P_1 + t_1) + \dots + \phi(P_n + t_n)$
= $\phi((P_1 + t_1) \cup \dots \cup (P_n + t_n)) = \phi(Q)$



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TRANSLATION SCISSORS CONGRUENCE



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A NEW TRANSLATION-INVARIANT VALUATION



The view from infinity

$$\Omega_0(P;x) := \Omega(P;x_0,x)|_{x_0=0} = \frac{\operatorname{adj}_P(x_0,x)|_{x_0=0}}{\prod_F \langle u_F, x \rangle}.$$

One can view this as

- restricting Ω to the hyperplane at infinite (given by $x_0 = 0$).
- ▶ restricting the numerator (resp. denominator) to the monomials of degree m d 1 (resp. m).

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Lemma.

 Ω_0 is a translation-invariant valuation. (but Ω is not)

Proof idea. Translation preserve the leading coefficients of a polynomial:

$$p(x) = \sum_{n} p_n x^n \quad \longrightarrow \quad p(x+t) = \sum_{n} p_n (x+t)^n.$$

How to use Ω_0

Observation: $\Omega_0(P) = 0$ if and only if drop(P) > 0.

Theorem.

If P and Q are translation scissors congruent, then

$$\operatorname{drop}(P) > 0 \quad \Longleftrightarrow \quad \operatorname{drop}(Q) > 0.$$

But ...

- We can only distinguish drop vs. no-drop.
- ▶ We lose all information about the precise value of the degree drop

Central symmetry $\Leftrightarrow drop = 1$

Theorem.

For d = 2 we have drop(P) > 0 if and only if P is centrally-symmetric.

Proof.

• every edge needs a parallel edge \implies must be a 2n-gon



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Theorem.

P has maximal degree drop drop(P) = d - 1 iff P is a zonotope.

Proof.

- ▶ if *P* has maximal drop, then so do its faces.
- all faces centrally symmetric \implies zonotope.























Question: Are zonotopes only translation scissors congruent to zonotopes? or stronger, is the precise degree drop preserved under TSC?

Yes and no

Theorem.

In dimension $d \leq 3$ translation scissors congruence preserves the degree drop.

Proof. (for d = 3)

- if $\operatorname{drop}(P) = 0$ then $\operatorname{drop}(Q) = 0$.
- ▶ if drop(P) = 2 then P is a zonotop, hence centrally symmetric. Both drop > 0 and cs are preserved by TSC. But cs 3-polytopes have an even drop. Hence drop(Q) = 2 as well.
- ▶ $\operatorname{drop}(P) = 1 \implies \operatorname{drop}(Q) = 1$ follows from $\operatorname{drop} \in \{0, 1, 2\}$.

This is not true in dimensions $d \ge 4$.

Example: 4-cube and 24-cell.

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Example: 4-cube and 24-cell.

Lemma.

In dimension d = 3, drop(P) > 0 if and only if P + (-P) is a zonotope.

HOMOGENEITY

Homogeneity of Ω_0

A valuation is ${\pmb k}\text{-homogeneous}$ if for $\lambda>0$ holds

 $\phi(\lambda P) = \lambda^k \phi(P).$

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A valuation is *k*-homogeneous if for $\lambda > 0$ holds

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Lemma.

 Ω_0 is 1-homogeneous. (but Ω is not)

$$\begin{aligned} & \textit{Proof.} \quad \Omega(\lambda P; x) = \operatorname{vol}(\lambda P - x)^{\circ} \\ &= \operatorname{vol}(\lambda (P - x/\lambda))^{\circ} \\ &= \operatorname{vol}(\lambda^{-1}(P - x/\lambda)^{\circ}) \\ &= \lambda^{-d} \operatorname{vol}(P - x/\lambda)^{\circ} = \lambda^{-d} \Omega(P; x/\lambda). \end{aligned}$$
$$& \Omega_{0}(\lambda P; x) = \lambda^{-d} \Omega(P; 0, x/\lambda) = \lambda^{-d} \frac{\operatorname{adj}_{P}(0, x/\lambda)}{\prod_{F} \ell_{F}(0, x/\lambda)} \\ &= \lambda^{-d} \frac{\lambda^{-(m-d-1)} \operatorname{adj}_{P}(0, x)}{\lambda^{-m} \prod_{F} \ell_{F}(0, x)} = \lambda \frac{\operatorname{adj}_{P}(0, x)}{\prod_{F} \ell_{F}(0, x)} = \lambda \Omega_{0}(P; x). \end{aligned}$$

Theorem. (McMullen)

If Ω_0 is 1-homogeneous, then it is Minkowski additive:

$$\Omega_0(P_1 + \dots + P_n) = \Omega_0(P_1) + \dots + \Omega_0(P_n).$$

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Theorem.

If P is a centrally-symmetric polytope of odd dimension with drop(P) > 0, then each half Q of a central dissection has drop(Q) > 0 as well.

MCMULLEN'S DECOMPOSITION

Theorem. (McMullen)

If Ω_0 is translation-invariant, 1-homogeneous and weakly continuous, then there is a valuation ϕ on (d-1)-cones so that

$$\Omega_0(P) = \sum_{e \subset P} \operatorname{len}(e)\phi(N_P(e)).$$

Questions:

- How to verify weak continuity?
- ▶ How to determine the valuation ϕ ?
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Theorem. (BAUMBACH, FREYER, WEIGERT, W.; 2025+)

$$\Omega_0(P;x) = -\frac{1}{\|x\|^2} \sum_e \operatorname{len}(e) \,\Omega\big(T_P(e)\big).$$

Theorem.

For d = 2 holds

$$\Omega_0(P;x) = -\frac{1}{\|x\|^2} \sum_e \frac{\mathrm{len}(e)}{\langle x, u_e \rangle}.$$

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Case study: the triangle

$$\begin{aligned} \frac{\mathrm{adj}_{\Delta}}{\langle x, u_1 \rangle \langle x, u_2 \rangle \langle x, u_3 \rangle} &= -\frac{1}{\|x\|^2} \Big(\frac{\ell_1}{\langle x, u_1 \rangle} + \frac{\ell_2}{\langle x, u_2 \rangle} + \frac{\ell_3}{\langle x, u_3 \rangle} \Big) \\ &= -\frac{1}{\|x\|^2} \frac{\ell_1 \langle x, u_2 \rangle \langle x, u_3 \rangle + \ell_2 \langle x, u_1 \rangle \langle x, u_3 \rangle + \ell_3 \langle x, u_1 \rangle \langle x, u_2 \rangle}{\langle x, u_1 \rangle \langle x, u_2 \rangle \langle x, u_3 \rangle} \end{aligned}$$

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Theorem.

For d = 2 holds

$$\Omega_0(P;x) = -\frac{1}{\|x\|^2} \sum_e \frac{\operatorname{len}(e)}{\langle x, u_e \rangle}.$$

Case study: the triangle

$$\begin{split} \frac{\mathrm{adj}_{\Delta}}{\langle x, u_1 \rangle \langle x, u_2 \rangle \langle x, u_3 \rangle} &= -\frac{1}{\|x\|^2} \Big(\frac{\ell_1}{\langle x, u_1 \rangle} + \frac{\ell_2}{\langle x, u_2 \rangle} + \frac{\ell_3}{\langle x, u_3 \rangle} \Big) \\ &= -\frac{1}{\|x\|^2} \frac{\ell_1 \langle x, u_2 \rangle \langle x, u_3 \rangle + \ell_2 \langle x, u_1 \rangle \langle x, u_3 \rangle + \ell_3 \langle x, u_1 \rangle \langle x, u_2 \rangle}{\langle x, u_1 \rangle \langle x, u_2 \rangle \langle x, u_3 \rangle} \\ \\ \mathrm{adj}_{\Delta} &= -\frac{1}{\|x\|^2} \Big(\ell_1 \langle x, u_2 \rangle \langle x, u_3 \rangle + \ell_2 \langle x, u_1 \rangle \langle x, u_3 \rangle + \ell_3 \langle x, u_1 \rangle \langle x, u_2 \rangle \Big) \\ &= \frac{\mathrm{Area}(\Delta)}{\mathrm{CircR}(\Delta)}. \end{split}$$

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Composition of Ω_0

Theorem. (BAUMBACH, FREYER, WEIGERT, W.; 2025+)

$$\Omega_0(P;x) = -\frac{1}{\|x\|^2} \sum_e \operatorname{len}(e) \,\Omega\big(T_P(e)\big).$$

First proof idea: triangulate P + proof theorem for simplices.

$$\underbrace{\det \begin{pmatrix} | & | & | \\ u_0 & u_1 & \dots & u_d \\ | & | & | \\ h_0 & h_1 & \dots & h_d \end{pmatrix}}_{= -\sum_{i < j} \det \begin{pmatrix} | & | & | & | \\ u_0 & \dots & v_i & \dots & v_j & \dots & u_d \\ | & | & | & | & | \\ 0 & \dots & 1 & \dots & 1 & \dots & 0 \end{pmatrix}} \langle u_i, x \rangle \langle u_j, x \rangle.$$

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SECOND PROOF IDEA: ORTHOSCHEMES



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$$\begin{aligned} v_0 &= (0, 0, 0, \dots, 0), & u_0 &= (h_0) \\ v_1 &= (h_1, 0, 0, \dots, 0), & u_1 &= (-1) \\ v_2 &= (h_1, h_2, 0, \dots, 0), & u_2 &= (0), \\ v_3 &= (h_1, h_2, h_3, \dots, 0), & u_3 &= (0), \\ \vdots & \vdots & \vdots \\ v_d &= (h_1, h_2, h_3, \dots, h_d), & u_d &= (0), \end{aligned}$$

$$\begin{split} &u_0 = (h_0, 0, 0, \dots, 0, 0), \\ &u_1 = (-h_2, h_1, 0, \dots, 0, 0), \\ &u_2 = (0, -h_3, h_2, \dots, 0, 0), \\ &u_3 = (0, 0, -h_4, h_3, \dots, 0, 0), \\ &\vdots \\ &u_d = (0, 0, 0, \dots, 0, -h_{d+1}), \end{split}$$

SECOND PROOF IDEA: ORTHOSCHEMES



$$\sum_{i=1}^{d} x_i^2 = -\sum_{\substack{i,j=0\\i< j}}^{d} \frac{h_{i+1}^2 + \dots + h_j^2}{h_i h_{i+1} h_j h_{j+1}} (h_{i+1} x_i - h_i x_{i+1}) (h_{j+1} x_j - h_j x_{j+1}).$$

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Thank you.

